

Part 2A of Form ADV: Firm Brochure

Item 1 Cover Page

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Stravolo Wealth Management, LLC

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March 31, 2016

This brochure provides information about the qualifications and business practices of Stravolo Wealth Management, LLC. If you have any questions about the contents of this brochure, please contact us at (864) 587-1685 or at steve@stravolo.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about this investment adviser firm, Stravolo Wealth Management, LLC is also available on the SEC's website at www.adviserinfo.sec.gov (select "investment adviser firm" and type in Stravolo Wealth Management, LLC). You can also search this site by a unique identifying number, known as a CRD number. The CRD number for Stravolo Wealth Management, LLC is 149636.

Item 2. Summary of Material Changes

There have been no material changes since the last update dated March 31, 2015.

The most recent version of this Brochure is available via:

- Internet: www.adviserinfo.sec.gov
- Email: steve@stravolo.com
- Telephone: 864.587.1685
- Mail: Stravolo Wealth Management, LLC
775 Spartan Blvd, Suite 105
Spartanburg, SC 29301

Please review this Brochure in its entirety.

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Stravolo Wealth Management, LLC (“SWM, LLC”) is a fee-based investment adviser registered in South Carolina, North Carolina, Georgia and Pennsylvania. Its principal place of business is in Spartanburg, South Carolina. SWM, LLC was founded by Stephen J. Stravolo in January 2009. Stephen J. Stravolo is currently the majority owner, managing member, and Investment Advisor Representative of SWM, LLC.

SWM, LLC manages approximately \$16,000,000.00 in discretionary assets as of March 31, 2016. In a discretionary account, you authorize your Advisor to purchase or sell securities without discussing the specific transaction with you in advance.

As of March 31, 2016, SWM, LLC managed no client assets on a non-discretionary basis.

SWM, LLC provides management services to clients who have certain direct accounts with ProFunds Mutual Funds, Nationwide Annuities, Prudential Annuities and/or Jackson National Annuities. Specifically, the following investment vehicles are currently managed by SWM, LLC:

1. ProFunds Service Class Mutual Funds
2. Nationwide Life Insurance: America's marketFLEX II Variable Annuity
3. Prudential Annuities: AS APEX II Variable Annuity
4. Prudential Annuities: AS Advisors Plan III Variable Annuity
5. Prudential Annuities: AS Extra Credit Six Variable Annuity
6. Jackson National: Perspective II Variable Annuity
7. Jackson National: Perspective L Variable Annuity
8. Jackson National: Elite Access Variable Annuity

The primary investment objective of any client who is contracting SWM, LLC for investment management of these specific investment vehicles listed above is growth. Our advisory services are typically appropriate for clients with at least a 5-year time horizon. Our investment management is tactical and active, meaning, management is constantly monitoring client investments, analyzing the markets and managing portfolio risk. The firm uses its own proprietary management approach, which is described in more detail in Item 8.

All advisory relationships begin with an initial client meeting. The purpose of this initial meeting is to discuss with your Advisor your investment history, goals, objectives and concerns as they relate to the management of your account. Based upon your Advisor's understanding of your specific situation, he or she may recommend one or more of the investment vehicles listed above and recommend that our firm manage the investment. Because these investments are being selected for growth, it is important to understand the investment strategy for the investment vehicle(s) being selected.

Accounts are traded at the "Strategy" level—a trade is entered for a given strategy and each account is traded to match the strategy. Therefore, clients may not impose restrictions on investing in certain securities or types of securities. Please refer to Item 8 for more information regarding investment strategies.

Agreements may not be assigned without written consent of the client and the Advisor.

Currently, there are no advisory fees charged for management of the investment vehicles listed above. Instead, a commission is paid to the registered representative of record on the purchase of these products.

Clients may pay custodial fees, account maintenance fees, transaction fees, and other fees associated with maintaining the Account. SWM, LLC does not receive any portion of these types of fees.

Advisory Representatives who are Registered Representatives may receive trail commissions (i.e. 12b-1 fees) for a period of time. Load and no-load mutual funds may pay annual distribution charges, sometimes referred to as 12b-1 fees. 12b-1 fees come from fund assets, therefore, indirectly from client assets. 12b-1 fees may be initially paid to Cetera Advisor Networks, LLC (“Cetera”), a registered broker dealer, and a portion passed to the registered individuals. The receipt of such fees could represent an incentive for Advisory Representatives to recommend funds with 12b-1 fees over funds that have no fees or lower fees. As a result, there is a potential conflict of interest.

Our firm and its Advisory Representatives may receive benefits such as assistance with conferences and educational meetings from product sponsors who may help with the costs of such events. Additionally, our firm uses online trading platforms provided by Jackson National Annuities, Prudential Annuities, ProFunds Mutual Funds and Nationwide Annuities to aid in managing client accounts. We do not pay for these systems and there is no quota or minimum account size requirements applied by these companies on our firm for use of their respective system.

SWM, LLC does not participate in any programs that charge performance-based fees.

Item 7 Types of Clients

SWM, LLC provides advisory services to a diversified client base. While most of its clients are individuals, the firm also provides advisory services to pension and profit sharing plans.

Minimum account sizes are the same as those required by the respective investment vehicles (ProFunds Mutual Funds, Jackson National Annuities, Nationwide Annuities and Prudential Annuities, all listed in Item 4).

The primary investment objective for any funds invested in the investment vehicles listed in Item 4 is growth, with a secondary emphasis on capital preservation during protracted market downturns. Based on various factors, such as risk tolerance, time horizon, goals and other factors, a client's investment will be managed using one of the following 3 strategies, named as follows:

- Strategy 3 – Conservative Growth
- Strategy 4 – Moderate Growth
- Strategy 5 – Aggressive Growth

Our firm manages each of the 3 strategies listed above using a tactical approach. This tactical approach is based on the management of 5 key components: style rotation, sector rotation, a fundamental component, market overlay and opportunistic trading. Each strategy uses a blend of the components listed below. The weighting of each component will vary at management's discretion and with varying market conditions.

Sector Rotation and Style Rotation: Management's goal is to invest accounts in the most favorable fund styles and/or industry sectors that exhibit strong performance and to divest out of styles and sectors that exhibit weak performance. Management repeats this rotation as economic and market conditions change. If management invests in a sector or style that underperforms its peer group, an investor may experience higher volatility and/or lower investment returns than the overall market.

Fundamental Component: Management's goal is to invest in a security that has a "compelling fundamental story or theme." This is a situation that may propel an investment to long-term gains based on changes in economics, technology, demographics or other dynamics. If management misjudges a "compelling fundamental story or theme", an investor may experience lower investment returns than the overall market.

Market Overlay: Management's goal is to understand and maintain harmony with market trends. This component dictates changes in the overall allocation of the portfolio. The objective is to participate when the market rises and reduce participation during market declines. If management is not successful at maintaining harmony with market trends, an investor may experience poor performance compared to a "buy and hold" or other strategy.

Opportunistic Trading: Opportunistic trading attempts to capitalize on market swings that may result, for example, when a security is under-priced. When a security is under-priced, this may lead to a buying opportunity.

Listed below are the investment vehicles managed by SWM, LLC (listed in Item 4) and corresponding investment strategies (in quotation marks are abbreviated names we use to identify client accounts in our online reporting tool called Albridge).

- “PF 3” – ProFunds Mutual Funds Strategy 3 – Conservative Growth
- “PF 4” – ProFunds Mutual Funds Strategy 4 – Moderate Growth
- “PF 5” – ProFunds Mutual Funds Strategy 5 – Aggressive Growth
- “NW 4” – Nationwide marketFlex II Annuity Strategy 4 – Moderate Growth
- “Pru 4” – Prudential Annuity Strategy 4 – Moderate Growth
- “JN 4” – Jackson National Annuity Strategy 4 – Moderate Growth
- “JN EA 4” – Jackson National Elite Access Annuity Strategy 4 – Moderate Growth

Variable Annuity Strategies: Note that all variable annuities managed by SWM, LLC are managed using a moderate growth strategy. This is based on the long-term nature of this type of investment vehicle coupled with the fact that these annuities may have certain trading restrictions and often have certain benefits along with access to professionally managed subaccounts (“funds”).

ProFunds Mutual Funds Strategies: Your Registered Representative has 3 different growth strategies available to recommend – Conservative Growth, Moderate Growth and Aggressive Growth.

Leveraged and Inverse Funds: Profunds Mutual Funds, Nationwide MarketFlex II Annuities and Prudential Annuities provide access to certain leveraged funds and inverse funds described in more detail as follows:

“Leveraged” means that the fund seeks daily investment results, before fees and expenses, that are more than 1X the return of its respective index. For example: a Profunds leveraged fund typically moves 1.5 times (1.5X) to 2 times (2X) the rate of its underlying index in both up markets and down markets. In other words, investment returns are amplified—positive results will generally be better and negative results will generally be worse than a non-leveraged fund.

“Inverse” means that the fund should have similar daily return characteristics as the inverse (-1X) of the daily return of its respective index.

“Leveraged inverse” refers to a fund that is both leveraged and inverse. This means that the fund seeks daily investment results, before fees and expenses, that are more than the inverse of its respective index. For example, a fund may move 2 times the inverse (-2X) of its respective index.

When your account holds leveraged funds that seek to move more than 100% of their respective benchmark index, you could experience much larger volatility (price fluctuation) in your account value.

Dollar Cost Averaging: Clients may choose to average in to the market if they are saving over time into one of the investment vehicles listed in Item 4. The goal of this strategy is to seek growth of assets by investing a fixed amount of money over a fixed period of time (i.e.: \$100/month for 48 months) into an equity portfolio. Dollar cost averaging attempts to take advantage of the fluctuations of the stock market. By buying on a disciplined schedule, investors can purchase more shares when the price is lower and fewer shares when the price is high. This can reduce the impact of market volatility on an investor's account value. There is no assurance that these goals can be attained.

Money Market Funds: At times, management will attempt to reduce portfolio risk by holding a proportion of assets in money market funds - specifically during periods when equity markets appear more risky and/or are declining.

Initially, all of your funds are invested in a money market fund in an effort to afford management the opportunity to evaluate the market and determine when it is best, in management's opinion, to move all or a portion of your money market fund balance to the target allocation.

Time Horizon: You must be willing to accept some fluctuation in the value of your account as markets fluctuate. Furthermore, you must understand that with a more aggressive strategy, the greater the volatility and the potential for higher market return, the greater the possibility of significant market losses. To invest in any of the investment vehicles listed in Item 4, you must have a long-term investment time horizon. The goals are to attempt to avoid large losses in downward markets and identify opportunities for growth in upward and sideways markets. There is no assurance that these goals can be attained.

Variable Annuity Fees: You should know that variable annuity products (Jackson National annuities, Prudential annuities and Nationwide annuities) may have higher fees than those associated with other investment vehicles and may have trading restrictions and limitations that could impede SWM, LLC's investment management. You should read their prospectus carefully.

Mutual Fund Fees: Fees may be higher or lower with ProFunds mutual funds compared to other products. You should read their prospectus carefully.

Item 9 Disciplinary Information

SWM, LLC has no reportable disciplinary events to disclose.

Steve Stravolo (majority owner and managing member of SWM, LLC) has obtained securities licenses and is a registered representative and investment adviser representative of Cetera Advisor Networks, LLC (“Cetera”), a registered broker/dealer, member FINRA and SIPC, and a registered investment advisor. He spends substantially all of his time providing fee-based advisory services, offering commission-based securities products through Cetera, meeting with clients on a regular basis and providing financial planning services to his clients.

Advisory Representative Steve Stravolo is an investment adviser representative of SWM, LLC. He is directly responsible for supervising Kim Stravolo, also an adviser representative of SWM, LLC.

You are under no obligation to purchase or sell securities through these registered persons. However, if you choose to implement investment recommendations, commissions may be earned in addition to any fees paid for advisory services. Commissions may be higher or lower at Cetera than at other broker/dealers. Members may have a conflict of interest in having you purchase securities and/or insurance related products through Cetera in that the higher their production with Cetera the greater potential for obtaining a higher pay-out on commissions earned. Further, registered persons may be restricted to only offering those products and services that have been reviewed and approved for offering to the public through Cetera.

Advisory Representative Kim Stravolo is an investment adviser representative of SWM, LLC. She spends less than 5% of her time providing fee-based advisory services through SWM, LLC.

Kim Stravolo is also a certified public accountant (CPA) and is employed by Mary Black Foundation in Spartanburg, SC as their Director of Finance.

You should know that you are under no obligation to purchase securities or insurance products through Advisory Representatives. All services are separate and you may obtain additional financial services from any financial professional.

SWM, LLC is committed to providing investment advice with the utmost professionalism and integrity. Your Advisor has a fiduciary duty to act in your best interests and always place your interests first and foremost. SWM, LLC takes compliance and regulatory obligations seriously and requires all team members to comply with such rules and regulations as well as its policies and procedures. Furthermore, management strives to handle clients' non-public information in such a way to protect information from falling into hands that have no business reason to know such information and provides clients with a Privacy Policy. As such, SWM, LLC maintains a code of ethics for its Investment Advisor Representatives and other team members.

The Code of Ethics contains provisions for standards of business conduct in order to comply with federal securities laws, personal securities reporting requirements, pre-approval procedures for certain transactions, code violations reporting requirements, and safeguarding of material non-public information about client transactions. Further, SWM, LLC's Code of Ethics establishes expectations for business conduct. A copy of SWM, LLC's Code of Ethics will be provided to you upon your request.

Members holding a professional or industry designation (CPA and/or PFS) are also held to ethical standards established by their respective member association (in this case, the AICPA (American Institute of Certified Public Accounts)).

SWM, LLC only manages accounts for clients who have purchased one or more of the 8 investment vehicles listed in Item 4. Furthermore, SWM, LLC only manages accounts purchased through one broker-dealer, Cetera Advisor Networks, LLC. SWM, LLC does not allow either its Advisors or its clients to direct the firm to use a different broker-dealer to execute securities transactions.

Since all of the funds under SWM, LLC's management are held by other custodians (See Item 15), it uses their respective trading platform to execute trades. And, because these investments are either mutual funds or variable annuity subaccounts, trades executed on any given day are based upon pricing at the close of the market on that particular day.

The products mentioned in Item 4 are commissioned-based products whose suitability has been established by a Registered Representative of Cetera Advisor Networks, LLC. In order to measure the continued suitability of a certain product, Registered Representatives should review the appropriateness of these products with you periodically. If something comes to the Registered Representative's attention at any given time that makes the existing management approach no longer in your best interest, the Registered Representative should discuss this with you and immediately notify the Adviser.

You should notify your Registered Representative and/or Advisory Representative promptly of any changes to your financial goals, objectives or financial situation has occurred that may require a review of your portfolio and possible changes to it.

Item 14 Client Referrals and Other Compensation

SWM, LLC does not pay anyone for client referrals. Furthermore, it does not accept any form of economic benefits such as sales awards or other prizes as a result of providing investment advice or any other advisory services to its clients.

SWM, LLC does not have custody of any client funds.

ProFunds Mutual Funds, Jackson National Annuities, Nationwide Annuities and Prudential Annuities will periodically send account statements directly to you, which you should review carefully.

You may grant authorization to your Advisory Representative to manage your account on a discretionary basis. Discretionary authorization provides your Advisory Representative the ability to determine:

1. The securities to be purchased and sold in your account.
2. When such securities are purchased and sold in your account.
3. The quantity of securities to be purchased and sold in your account

You grant such authority to your Adviser by execution of an Advisory Agreement. You may terminate discretionary authorization at any time upon delivery of written notice to your Advisory Representative. Termination of Investment Discretion has the effect of terminating the management of your account. Advisor is not obligated to make investment recommendations if you terminate authorization for discretionary trading.

SWM, LLC, its Representatives, its members and its employees are prohibited from withdrawing funds from your account for any reason other than payment of fees. Payment of fees will only occur with express permission from you, the client, as outlined in the Advisory Agreement.

For all the advisory services offered through SWM, LLC, neither it, nor its Advisors have any authority to vote proxies on your behalf. You are solely responsible for receiving and voting proxies for the securities that you maintain within your account.

SWM, LLC does not have any financial condition that could impair its ability to meet its contractual obligations. A balance sheet is not required to be provided because the firm does not serve as custodian for client assets and does not take prepayment of more than \$1,200 in fees per client, six months or more in advance.

Stephen J. Stravolo, CPA, PFS Date of Birth: 1968

Education and Credentials:

- o Wofford College, Spartanburg, SC - attended from 1986 to 1990, graduated in 1990 with a BA in Accounting
- o University of South Carolina, Columbia, SC - attended from 1990 to 1991, graduated in 1991 with a Masters in Accounting
- o Certified Public Accountant (CPA) since October 1993
- o Personal Financial Specialist (PFS) since March 2013

Business Background:

- o Stravolo Wealth Management, LLC, an investment adviser - position: Member - 01/2009 to Present
- o Cetera Advisor Networks, LLC., a broker/dealer - position: Registered Representative and Investment Adviser Representative - 08/2006 to Present
- o Upstate CPAs, PA, an accounting firm - position: Business Consultant - 12/20/2010 to 12/31/13
- o Stravolo & Company, PA, an accounting firm - position: President and Accountant - 08/1994 to 12/20/2010 (Stravolo & Company, PA sold to Upstate CPAs, PA on 12/20/2010)
- o AAA Westgate Tax, an accounting firm - position: Owner - 11/1997 to 11/2007
- o The Investment Center, a broker/dealer - position: Registered Representative and Investment Adviser Representative - 08/2005 to 08/2006
- o US Allianz, a broker/dealer - position: Registered Representative and Investment Adviser Representative - 11/2002 to 08/2005

Kimberly D. Stravolo, CPA Date of Birth: 1969

Education and Credentials:

- o Columbia College, Columbia, SC - attended from 1987 to 1991, graduated in 1991 with a BA in Accounting
- o University of South Carolina, Columbia, SC - attended from 1991 to 1992, graduated in 1992 with a Masters in Taxation
- o Certified Public Accountant (CPA) since November 1994

Business Background:

- o Stravolo Wealth Management, LLC, an investment advisor - position: Investment Adviser Representative - 09/2009 to Present
- o Mary Black Foundation – position: Director of Finance – 01/2014 to Present
- o Upstate CPAs, PA, an accounting firm - position: Tax manager - 12/2010 to 12/2013
- o Stravolo & Company, PA, an accounting firm - position: Vice President and Tax Partner - 11/1994 to 12/2010
- o AAA Westgate Tax, an accounting firm - position: Owner - 11/1997 to 11/2007